



## **POLICY ON CIRCULATION OF UNAUTHENTICATED NEWS**

**Purpose:** To Stop Unauthenticated News Circulation by the Company's Employees/ Temporary Staff or other dealing person and by company infrastructure without adequate caution to Protect Investors.

It has been observed that market news circulated through blogs/chat forums / email by Company's Directors/ Officers / Employees/ Temporary Staff without adequate caution can do considerable damage to the normal functioning and behavior of the market and distort the price discovery mechanisms.

As per code of conduct for Stock Broker in SEBI (Stock Brokers and Sub-brokers) Regulations, 1992 and SEBI circular Cir/ISD/1/2011 dated March 23, 2011, all SEBI registered market intermediaries are required to have proper internal code of conduct to govern the conduct of its Employees. In view of same, Rosy Blue Securities Pvt. Ltd. (the Company) implements code of conduct for communicating through various modes of communication and all the Company's Directors/ Officers / Employees/ Temporary Staff should adhere to the policy.

The Company's Directors/ Officers / Employees/ Temporary Staff are prohibited from:

1. Circulation of unauthenticated news related to various listed scrip's in blogs/chat forums/e-mail etc.
2. Encouraging or circulating rumors or unverified information obtained from client, industry, any trade or any other sources without verification.

3. Either forwarding any market related news received in their official mail/personal mail/blog or in any other manner except after the same has been seen and approved by the Compliance Officer

The Company Directors/ Officers / Employees/ Temporary Staff will have to seek prior approval from the Compliance Officer of the Company before forwarding any market related news received by them either in their official mail/personal mail/blog or in any other manner and all the reporting with regard to violation of the same shall be done to the designated Compliance Officer.

If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for disciplinary action.

Access to Blogs/chat forums/messenger sites etc. has been restricted by the company and is not allowed.

**For Rosy Blue Securities Pvt. Ltd.**

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**Shilpa Hirani**  
**Director**